3rd Open Government Action Plan 2023 End-of-commitment 4 assessment

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Jurisdiction: São Paulo
Action plan: 3rd Open Government Action Plan
Commitment title: Commitment 4

Section 1 Conclusion of the commitment

1.1   What was the general level of progress in implementing the commitment at the time of this evaluation?
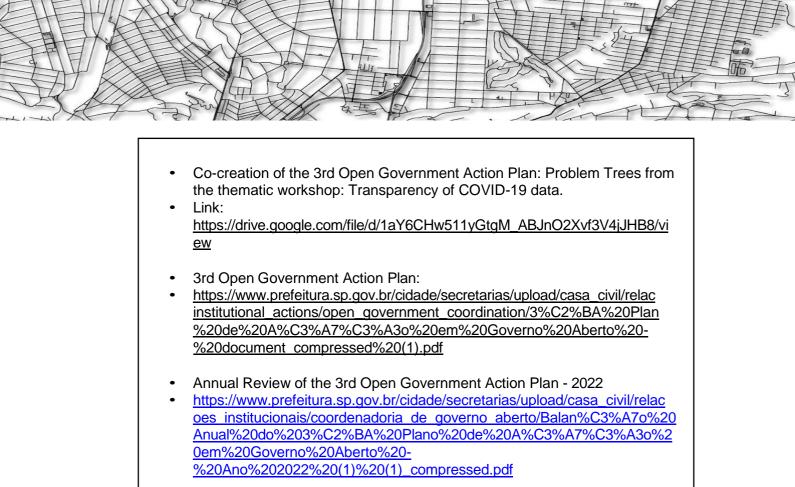
> Complete

Provide a brief explanation of your answer:

> The 3rd Open Government Action Plan, proposed after a dynamic process of co-creation, resulted in the creation of 4 commitments. Commitment 4, which translates into the generation and availability of data on hospital infrastructure and vaccination in the Municipality of São Paulo and the impact of COVID-19 on the school attendance of students in the São Paulo Municipal Education Network, was anchored in 4 Milestones:
>
> o   Milestone 4.1 - Generating and making easily accessible disaggregated, open-format data on immunization.
> o   Milestone 4.2 - Generating and making available data on hospital infrastructure in tackling Covid-19.
> o   Milestone 4.3 - Report on the analysis of data regarding the retention due to attendance of students from the Municipal Education Network of São Paulo during the pandemic period.
> o   Milestone 4.4 - Glossary of technical terms used in the Covid-19 Panel and Bulletins on Covid-19, in simple language.
>
> It can be seen that the level of progress in the implementation of Commitment 4 at the time of the evaluation was **highly satisfactory,** given the achievement of the 19 lines of action established and the respective deliverables for each of its four Milestones.

Provide evidence to support and justify your answer:

- Co-creation of the 3rd Open Government Action Plan: Problem Trees from the thematic workshop: Transparency of COVID-19 data.
- Link: https://drive.google.com/file/d/1aY6CHw511yGtgM_ABJnO2Xvf3V4jJHB8/view

- 3rd Open Government Action Plan:
- https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/casa_civil/relac institutional_actions/open_government_coordination/3%C2%BA%20Plan %20de%20A%C3%A7%C3%A3o%20em%20Governo%20Aberto%20- %20document_compressed%20(1).pdf

- Annual Review of the 3rd Open Government Action Plan - 2022
- https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/casa_civil/relac oes_institucionais/coordenadoria_de_governo_aberto/Balan%C3%A7o%20 Anual%20do%203%C2%BA%20Plano%20de%20A%C3%A7%C3%A3o%2 0em%20Governo%20Aberto%20- %20Ano%202022%20(1)%20(1)_compressed.pdf

- Annual Review of the 3rd Open Government Action Plan - 2023
- https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/casa_civil/relac oes_institucionais/coordenadoria_de_governo_aberto/Balan%C3%A7o%20 Plano%20de%20A%C3%A7%C3%A3o%202023%2001-02.pdf

1.2 **Describe the main external or internal factors that impacted the implementation of this commitment and how they were addressed (or not).**

Throughout the implementation of the commitments, the importance of the population's participation in the implementation of the commitments, especially milestone 4.4, was also noted, with a focus on the production of a glossary of technical terms used in the Covid-19 Panel and Covid-19 Bulletins, in simple language. On the other hand, it was important to evaluate and validate the glossary with uninvolved civil society, which has less or no technical knowledge on the subject, hence the challenge of engaging the public to finalize the glossary.

The city has undergone administrative restructuring, which has impacted on some of the commitments, including the implementation schedule.

Regarding Education, challenges were encountered in the implementation of milestone 4.3 with regard to the completeness of the information. It was felt that the quantitative data provided by the Prefecture's systems was insufficient to understand the education scenario following the crisis caused by the Covid-19 pandemic. For this reason, it was decided collectively to change the dates of this commitment and include a phase of qualitative analysis of the data that teachers made available on the system throughout this period of remote teaching.

1.3   Has the commitment been implemented as originally planned?

Most of Commitment 4's milestones have been implemented as planned

Provide a brief explanation of your answer:

By January 2023, the health-related Milestones of Commitment 4 (Milestones 4.1., 4.2. and 4.3.) had already been completed, thus complying with the deadline for Commitment 4 established with the OGP (Oct./2024), but exceeding the internal deadlines for each Milestone, namely: Oct./2022 for Milestone 4.1., Oct./2022 for Milestone 4.2 and Jul./2022 for Milestone 4.4. The delay in the internal deadlines for each Milestone was partly due to other activities unrelated to the Action Plan being carried out by members of the Municipal Health Secretariat (Secretaria Municipal da Saúde).

Milestone 4.3, which is in the direct responsibility of the Municipal Secretariat of Education (Secretaria Municipal de Educação), was delivered in November 2023, exceeding the original deadline for the milestone (initially set for December 2022). One of the factors that delayed the delivery of the Milestone was the very quality of the information on students who were retained for attendance, which was made up of various personal details and sensitive personal data, thus requiring a greater effort on the part of the members of the Secretariat to obtain this data and imposing greater diligence in the processing of this information.

With regard to Milestone 4.1, for example, the disaggregation of data was carried out and incorporated into the COVID-19 Panel, which remains in force and constantly updated. The aim of this milestone was to make this disaggregation possible, allowing access to detailed information that was previously unavailable due to data aggregation. As for Milestone 4.2, it focused on providing data on hospital beds offered in response to the COVID-19 pandemic. However, given the WHO's announcement of the end of the Public Health Emergency of International Concern on May 5, 2023, the context for which these efforts were directed no longer exists. Thus, the products resulting from these milestones - and Milestones 4.3 and 4.4 - have been delivered and are accessible to the population at any time, making continuous monitoring unnecessary, since the objectives have been achieved and the resources can be consulted as needed.

Provide evidence for your answer:

Milestone 4.1. Generate and make easily accessible disaggregated and open-format data on vaccinations (Completed Oct./2022)
Deliverables for Milestone 4.1: The data was made available on the page of the COVID-19 Panel - Municipality of São Paulo. In this panel, Milestone 4.1 has been broken down into the vaccination tab.
Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=310771

The databases in open format are available on the "About epidemiological data" webpage.
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=313773

Milestone 4.2 - Generating and making available data on hospital infrastructure in tackling Covid-19 (Completed in Jan-2023)
Deliverables for Milestone 4.2: The data has been published and made available on the Municipal Health Department's portal.
Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=296086

Milestone 4.3 - Data analysis report on retention due to attendance of students in the São Paulo Municipal Education Network during the pandemic (Completed in Nov. 2020)

Deliverables for Milestone 4.3. - A report on the actions taken by the Municipal Secretariat of Education during the COVID-19 pandemic was drawn up and made available on an interactive platform available on the "Education Actions" portal. The portal also contains data on students held back due to absences in the 2021-2023 period by Regional Directorate of Education (Diretoria Regional de Educação - DRE), type of school and school year. Link:
https://acoesdaeducacao.sme.prefeitura.sp.gov.br/

Milestone 4.4 - Glossary of technical terms used in the Covid-19 Panel and Covid-19 Bulletins, in multimedia language (Completed in Jan-2023)
Deliverables for Milestone 4.4. - publication of the "Simple Guide to Covid-19", dealing with technical terms related to COVID-19. Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/saude/Guia_Simplificado_Covid19_february_2023.pdf

Section 2: Have you opened the government?

2.1.1 The government:
- released more information,
- improved the quality of information (new or existing),
- improved the value of information,
- improved channels for disclosing or requesting information or
- improved accessibility to information?

Yes

Degree of result:

Substantial

Explanation - *In narrative form, what was the impact on people or practice?*

Commitment 4 focuses on expanding the availability of COVID-19-related data and analyzing existing data on the attendance of students in the municipal public school system, contributing mainly to the principle of the value of transparency. The topic of the pandemic was also an indication of the Open Government Partnership. The commitment was intended to be a new transparency practice. However, during the drafting of the Action Plan, it was noted that the scope of data openness demanded by Civil Society was the responsibility of the state and federal executive governments. As a result, the scope of the commitment initially demanded by civil society was reduced so that it only covered what the municipal government could make available. The final commitment focuses on opening up the data that is the responsibility of the municipal executive and producing a report on the impact of Covid-19 on education.

Evidence

Line of Action 4.1.1 Provide demographic data on COVID-19 vaccination in the municipality (doses of vaccine administered, disaggregated by sex). Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=310771
Line of Action 4.1.2: Provide regionalized data on vaccination against COVID-19 in the municipality (doses administered by Regional Health Coordination) (Completed in Oct./2022). Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=310771
Line of Action 4.1.3: Provide COVID-19 vaccination data by priority groups in the municipality (doses applied by group) (Completed in Oct. 2020). Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=310771
Line of Action 4.1.4: Provide consolidated data on doses received in the municipality (Completed in Oct./2022). Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=310771

Line of Action 4.2.1 Generate and make available data on the historical series of the supply of COVID-19 beds by the municipal network between 2020 and 2021 (Completed in Jan./2023). Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=296086

Line of Action 4.2.2: Produce and publish an evolutionary report on the hospital infrastructure of the municipal network between 2020 and 2021 (Completed in Jan./2023). Link:
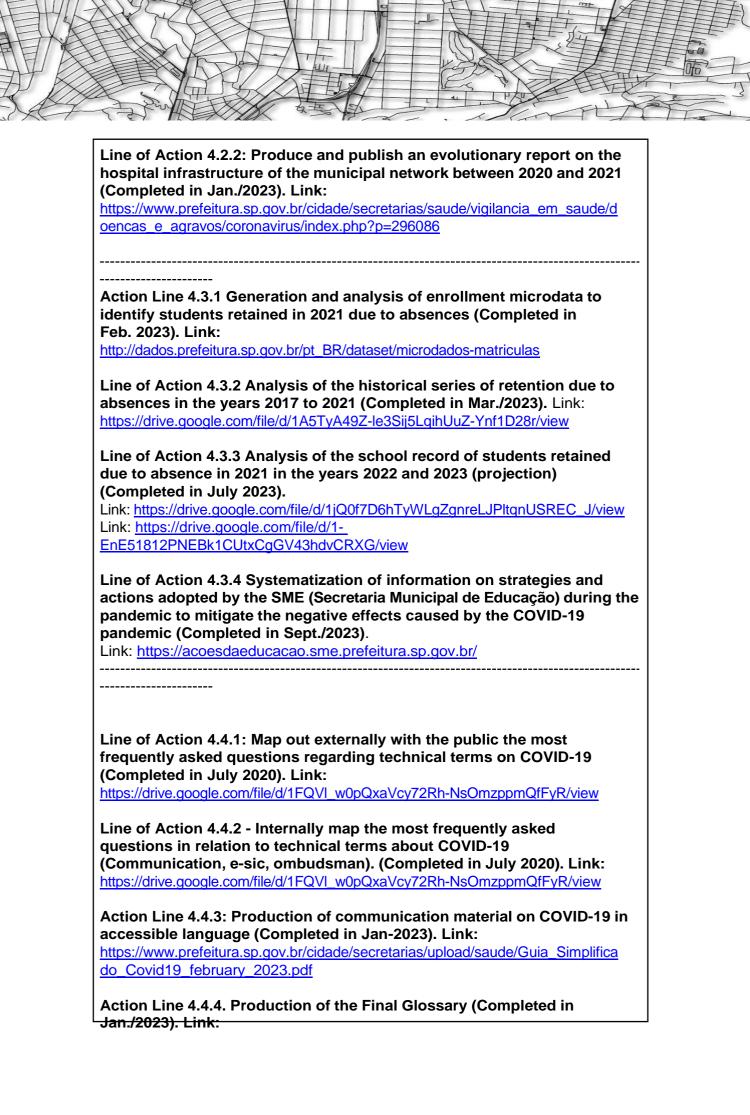https://www.prefeitura.sp.gov.br/cidade/secretarias/saude/vigilancia_em_saude/doencas_e_agravos/coronavirus/index.php?p=296086

Action Line 4.3.1 Generation and analysis of enrollment microdata to identify students retained in 2021 due to absences (Completed in Feb. 2023). Link:
http://dados.prefeitura.sp.gov.br/pt_BR/dataset/microdados-matriculas

Line of Action 4.3.2 Analysis of the historical series of retention due to absences in the years 2017 to 2021 (Completed in Mar./2023). Link:
https://drive.google.com/file/d/1A5TyA49Z-le3Sij5LqihUuZ-Ynf1D28r/view

Line of Action 4.3.3 Analysis of the school record of students retained due to absence in 2021 in the years 2022 and 2023 (projection) (Completed in July 2023).
Link: https://drive.google.com/file/d/1jQ0f7D6hTyWLgZgnreLJPltqnUSREC_J/view
Link: https://drive.google.com/file/d/1-EnE51812PNEBk1CUtxCgGV43hdvCRXG/view

Line of Action 4.3.4 Systematization of information on strategies and actions adopted by the SME (Secretaria Municipal de Educação) during the pandemic to mitigate the negative effects caused by the COVID-19 pandemic (Completed in Sept./2023).
Link: https://acoesdaeducacao.sme.prefeitura.sp.gov.br/

Line of Action 4.4.1: Map out externally with the public the most frequently asked questions regarding technical terms on COVID-19 (Completed in July 2020). Link:
https://drive.google.com/file/d/1FQVl_w0pQxaVcy72Rh-NsOmzppmQfFyR/view

Line of Action 4.4.2 - Internally map the most frequently asked questions in relation to technical terms about COVID-19 (Communication, e-sic, ombudsman). (Completed in July 2020). Link:
https://drive.google.com/file/d/1FQVl_w0pQxaVcy72Rh-NsOmzppmQfFyR/view

Action Line 4.4.3: Production of communication material on COVID-19 in accessible language (Completed in Jan-2023). Link:
https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/saude/Guia_Simplificado_Covid19_february_2023.pdf

Action Line 4.4.4. Production of the Final Glossary (Completed in Jan./2023). Link:

2 .1.2 The government:
- created new opportunities to get feedback from citizens/enable participation/inform or influence decisions;
- improved the existing channels or spaces for obtaining feedback from citizens/enabling participation/informing or influencing decisions;
- created or improved capacities in government or the public with the aim of improving the way government seeks feedback from citizens/enables participation/or allows the public to inform or influence decisions?
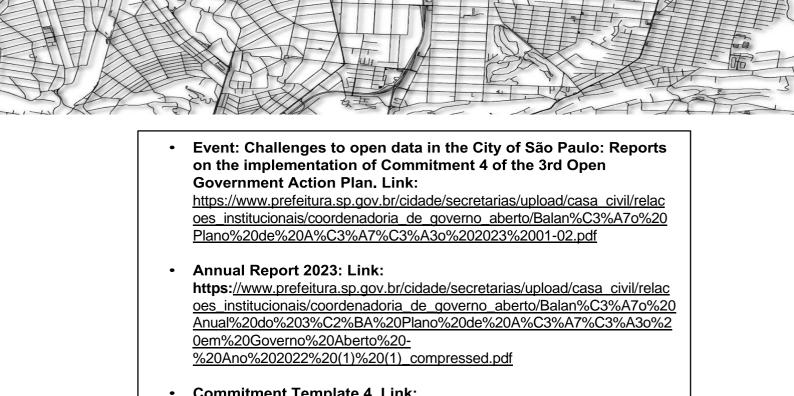
Yes

Degree of result:

Transformative

Explanation - *In narrative form, what was the impact on people or practice?*

Commitment 4 highlights and generates value for social and citizen participation as a result of various initiatives, such as
- Event: Challenges to open data in the City of São Paulo: Reports on the implementation of Commitment 4 of the 3rd Open Government Action Plan.
- Discussions with the Health Surveillance team (Vigilância em Saúde - COVISA) and the Department of Information and Communication Technology (Departamento de Tecnologia da Informação e Comunicação - DTIC - Web Panel) to agree on delivery parameters and deadlines. The Covid-19 transparency webpage is now available on the Transparency Portal of the city.
- Discussions with the Health Surveillance team (COVISA) and the Information and Communication Technology Department (DTIC - Web Panel) to agree on delivery parameters and deadlines.
- Discussions with the Hospital Assistance team to deal with deliveries and deadlines and preliminary material
- Diversity of participation by the different actors involved in the process:
  o Open Government Coordination (Coordenadoria de Governo Aberto – CGA) - Municipal Secretariat of the Civil House (Secretaria Municipal da Casa Civil
  o Municipal Health Secretariat (Secretaria Municipal da Saúde)
  o Municipal Secretariat of Education (Secretaria Municipal de Educação)
  o Municipal Finance Secretariat (Secretaria Municipal da Fazenda)
  o Municipal Comptroller General (Controladoria Geral do Município)
  o COLAB-USP (Colaboratório de Desenvolvimento e Participação)

Evidence

- **Event: Challenges to open data in the City of São Paulo: Reports on the implementation of Commitment 4 of the 3rd Open Government Action Plan. Link:** https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/casa_civil/relacoes_institucionais/coordenadoria_de_governo_aberto/Balan%C3%A7o%20Plano%20de%20A%C3%A7%C3%A3o%202023%2001-02.pdf

- **Annual Report 2023: Link: https:**//www.prefeitura.sp.gov.br/cidade/secretarias/upload/casa_civil/relacoes_institucionais/coordenadoria_de_governo_aberto/Balan%C3%A7o%20Anual%20do%203%C2%BA%20Plano%20de%20A%C3%A7%C3%A3o%20em%20Governo%20Aberto%20-%20Ano%202022%20(1)%20(1)_compressed.pdf

- **Commitment Template 4. Link:** https://docs.google.com/spreadsheets/d/1BQ2gpPnt0SFUFJBKLw-OfPwszsIIsb6c/edit#gid=437502899

2.1.3 Has the government created or improved channels, opportunities or capacities to hold officials accountable for their actions?

Yes

Degree of result:

Transformative

Explanation - *In narrative form, what was the impact on people or practice?*

Commitment 4 activities have the potential to be a positive change in an institutional process, practice or policy and/or aim to increase the level of accountability of employees for their actions.

Evidence

Multistakeholder Forum (rules and composition of the Forum).
Links:
https://drive.google.com/drive/u/0/folders/1krX1JJye_xexID3hrQKdRpEwdKuuFBpf

https://www.prefeitura.sp.gov.br/cidade/secretarias/casa_civil/relacoes_institucionais/coordenadoria_de_governo_aberto/index.php/plano_de_acao/index.php?p=336455

Meetings
Link:

Official Gazette - UPDATE ON THE COMPOSITION OF THE MULTISTAKEHOLDER FORUM.
Link:

2.2   Did the commitment address the public policy problem it was intended to solve as described in the action plan?

Yes

Provide a brief explanation of your answer:

Commitment 4, which is part of the 3rd Open Government Action Plan, is an instrument for promoting, strengthening and fostering transparency, accountability, citizen participation and technology and innovation initiatives in the São Paulo City (Prefeitura Municipal de São Paulo - PMSP). The PMSP is made up of 28 secretariats, 32 units/sub-prefectures, 14 municipal autarchies and is committed to developing a set of actions aimed at managing the knowledge of innovative practices of more than 120,000 PMSP civil servants.

The Open Government Agents Program (Programa Agentes de Governo Aberto) promotes and disseminates practices and uses of transparency, integrity, participation and innovation tools (such as the São Paulo City portals and platforms); construction and dissemination of the concept of open government; presentation, use and dissemination of the open government agenda at local, national and international level.

The program is also part of the federal government's Team Brazil (Time Brasil), linked to the Office of the Comptroller General, which is responsible for helping states and municipalities improve public management and strengthen the fight against corruption, in its action "3.1: carry out training and capacity building for board members".

With emphasis on health and education, the following categories of courses stand out:

CATEGORY 4 - HEALTH AND SOCIAL ASSISTANCE. Possible Thematic Approaches: formation of the Unified Health System (Sistema Único de Saúde - SUS); formation of the Unified Social Assistance System (Sistema Único de Assistência Social - SUAS); financing, public spending and management of health resources, structure and functioning of the Municipal Health Secretariat and the Municipal Secretariat for Social Assistance and Development and the tools and spaces for participation and social control linked to them; attributions of the federal, state and municipal spheres in health and social assistance; presentation, creation and dissemination of tools available on digital and non-digital networks to promote and disseminate public policies in the areas of health and social assistance.

CATEGORY 6 - OPEN GOVERNMENT IN SCHOOLS. Possible Thematic Approaches: democratic management in schools: school council, student union, mediation of school conflicts, non-violent communication, inclusion and diversity, school coexistence; Statute of the Child and Adolescent, combating racism and homophobia; migrant peoples, cultural curatorship, physical-sports curatorship, environmental sustainability, public relations. The projects in category 6 - Open Government in Schools - must have didactics and language adapted to children and young people (aged between 10 and 17).

Provide evidence for your answer:

Final Report of the Open Government Agents Program. Link: https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/governo/relacoes_institucionais/Agentes%20de%20governo%20aberto/Relatorio%20AGA%202023.pdf

Section 3: Lessons from implementation

3. Provide at <u>least one</u> lesson or reflection relating to the implementation of this commitment. This could be the identification of the main barriers to implementation, an unexpected help/obstacle, recommendations for future commitments or whether the commitment should be carried forward to the next action plan.

In relation to **Education**, challenges were encountered in the implementation of the Milestone 4.3 regarding the completeness of the information. It was felt that the quantitative data provided by the Prefecture's systems was insufficient to understand the education scenario following the crisis caused by the Covid-19 pandemic. It was therefore decided collectively to change the dates of this commitment and include a phase of qualitative analysis of the data that teachers made available on the system throughout this period of remote teaching.

In relation to **Health,** challenges were also encountered in the implementation of milestone 4.4, due to the production of a glossary of technical terms used in the Covid-19 Panel and Bulletins in simple language. It was a major challenge to mobilize this type of initiative with people more diverse than those already engaged in social participation and open government processes. Evaluating and validating the glossary with civil society that was not engaged and had less or no technical knowledge on the subject was a barrier to be faced.

It is worth noting that the PMSP has undergone administrative restructuring, which has impacted the delivery of some of the commitments' milestones, because there have been changes to the implementation schedule.